



SEP 14 2000  
FORMALITIES LETTER



UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

file:///c:/APPS/preexam/correspondence/3.htm

SEARCHED  
INDEXED  
SERIALIZED  
FILED  
13

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/533,421	03/22/2000	Charles S. Roberson	1314.3005R1

J P Blasko Prof Corp  
107 North Broad Street  
Doylestown, PA 18901

Date Mailed: 06/09/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

***A copy of this notice MUST be returned with the reply.***

*Ruth Sydor*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

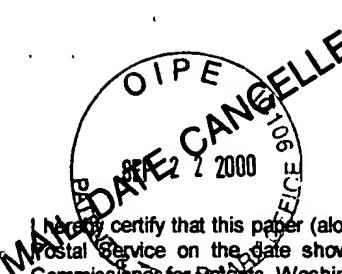
PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2000 GTEFFERA 00000130 09533421

01 FC:101  
02 FC:105

690.00 OP  
130.00 OP

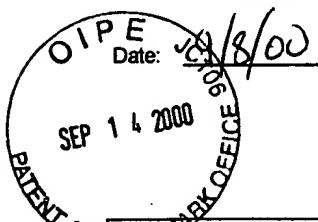
10849 U.S. PTO  
00/41/60



CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Frank A. Mazzeo



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/533,421	FILING DATE 22 MAR 2000	FIRST NAMED INVENTOR ROBERSON	ATTY. DKT. NO. 1360.303 (formerly CER1009-01 and 1314.3005R 1)
TITLE METHOD AND APPARATUS FOR CONTROLLING THE OPERATION OF A FLEXIBLE CROSS-CONNECT SYSTEM		ART UNIT 2739	EXAMINER UNKNOWN

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

Fee Transmittal Form  Check for \$ 970.00  
 Amendment / Response  After Final  
 Petition for Extension of Time  
 Information Disclosure Statement  Form PTO-1449  
 Notice to File Missing Parts of Application/Incomplete Application  
 Express Mail Certificate  
 Other: (1) Request for Corrected Filing Receipt (3p.); (2) Assignment of Invention w/ Cover Page (3p.); (3) Declaration and Power of Attorney (2p.); and (4) Associate Power of Attorney (1p.).

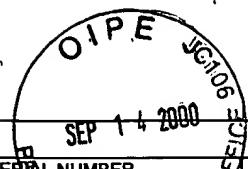
Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice of Missing Parts mailed June 9, 2000.

Dated: 9/8/00

  
Signature

808 Bethlehem Pike (Route 309)  
Colmar, PA 18915  
(215) 799-2210

Frank A. Mazzeo, Reg. No. 46,259



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE TRANSMITTAL

SERIAL NUMBER 09/163,421	FILING DATE 22 MAR 2000	FIRST NAMED INVENTOR ROBERSON	ATTY. DKT. NO. 1360.303
TITLE METHOD AND APPARATUS FOR CONTROLLING THE OPERATION OF A FLEXIBLE CROSS-CONNECT SYSTEM		ART UNIT 2739	EXAMINER UNKNOWN

FEE CALCULATION (fees effective 9/8/00)

1. FILING FEE				2. CLAIMS			
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Amount	Previous Paid	Extra	Fee	Amount
<input checked="" type="checkbox"/> 101	<input type="checkbox"/> 201	Utility filing	690.00	Total Claims	18 - 20 =	x	= 0.00
<input type="checkbox"/> 106	<input type="checkbox"/> 206	Design filing fee		Independent Claims	2 - 3 =	x	= 0
<input type="checkbox"/> 107	<input type="checkbox"/> 207	Plant filing fee		<input type="checkbox"/> Multiple Dependent Claims			
<input type="checkbox"/> 108	<input type="checkbox"/> 208	Reissue filing fee		<input type="checkbox"/> Small Entity Status (50% Reduction)		SUBTOTAL	
SUBTOTAL (1) \$690.00				SUBTOTAL (2) \$0			

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Amount
<input type="checkbox"/> 105	<input type="checkbox"/> 205	Surcharge-late filing fee or oath	
<input type="checkbox"/> 139	<input type="checkbox"/> 139	Non-English specification	
<input type="checkbox"/> 147	<input type="checkbox"/> 147	for filing a Request for Reexamination	
<input type="checkbox"/> 112	<input type="checkbox"/> 112	Requesting publication of SIR prior to Examiner Action	
<input type="checkbox"/> 113	<input type="checkbox"/> 113	Requesting publication of SIR after Examiner Action	
<input checked="" type="checkbox"/> 115	<input type="checkbox"/> 215	Extension for response within first month	\$110.00
<input type="checkbox"/> 116	<input type="checkbox"/> 216	Extension for response within second month	
<input type="checkbox"/> 117	<input type="checkbox"/> 217	Extension for response within third month	
<input type="checkbox"/> 118	<input type="checkbox"/> 218	Extension for response within fourth month	
<input type="checkbox"/> 119	<input type="checkbox"/> 219	Notice of Appeal	
<input type="checkbox"/> 120	<input type="checkbox"/> 220	Filing a brief in support of an appeal	
<input type="checkbox"/> 121	<input type="checkbox"/> 221	Request for oral hearing	
<input type="checkbox"/> 138	<input type="checkbox"/> 138	Petition to institute a public use proceeding	
<input type="checkbox"/> 140	<input type="checkbox"/> 240	Petition to revive unavoidably abandoned application	
<input type="checkbox"/> 141	<input type="checkbox"/> 241	Petition to revive unintentionally abandoned application	
<input type="checkbox"/> 142	<input type="checkbox"/> 242	Utility issue fee (or reissue)	
<input type="checkbox"/> 143	<input type="checkbox"/> 243	Design issue fee	
<input type="checkbox"/> 144	<input type="checkbox"/> 244	Plant issue fee	
<input checked="" type="checkbox"/> 122	<input type="checkbox"/> 122	Petitions to the Commissioner	\$130.00
<input type="checkbox"/> 126	<input type="checkbox"/> 126	Submission of Information Disclosure Statement	
<input checked="" type="checkbox"/> 581	<input type="checkbox"/> 581	Recording each patent assignment per property	\$40.00
<input type="checkbox"/> 114	<input type="checkbox"/> 214	Provisional Application filing	
Other fee (specify)			
Other fee (specify)			
SUBTOTAL (3) \$280			

METHOD OF PAYMENT (check one)

TOTAL AMOUNT OF PAYMENT \$ 970

<input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: _____ Deposit Account Name: _____	<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other: _____	Office use only: _____
<input type="checkbox"/> Charge any Additional Fee Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)		

SUBMITTED BY

Frank A. Mazzeo

*Frank Mazzeo* 9/8/00  
Signature Date

46,259

Reg. Number



CERTIFICATE OF TRANSMISSION (37 CFR 1.8(A))

PATENT

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 9/8/00

  
Frank A. Mazzeo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/533,421	FILING DATE 22 MAR 2000	FIRST NAMED INVENTOR ROBERSON	ATTY. DKT. NO. 1360.303
TITLE METHOD AND APPARATUS FOR CONTROLLING THE OPERATION OF A FLEXIBLE CROSS-CONNECT SYSTEM		ART UNIT 2739	EXAMINER UNKNOWN

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:  
 incorrectly entered  
  
 omitted.

and/or

<u>Error in</u>	<u>Correct data</u>
<input type="checkbox"/> 1. Applicant's name	1.
<input type="checkbox"/> 2. Applicant's address	2.
<input type="checkbox"/> 3. Title	3.
<input type="checkbox"/> 4. Filing date	4.
<input type="checkbox"/> 5. Serial number	5.
<input type="checkbox"/> 6. Foreign/PCT App. Re:	6.
<input checked="" type="checkbox"/> 7. Other:	7. Priority data claimed 60/125,526 filed on March 22, 1999.

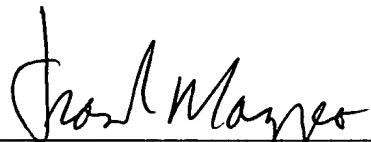
3. Nature of Corrections:

The correction(s) is/are not due to any error by applicant and no fee is due.

At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

Enclosed is a check for \$25.00.  
 Charge Deposit Account No. \$25.00

Respectfully submitted,



Frank A. Mazzeo, Esquire  
Reg. No. 46,259

Date: 9/8/00

Frank A. Mazzeo, Attorney At Law  
808 Bethlehem Pike  
Colmar, PA 18915  
(215) 799-2210

## FILING RECEIPT



\*OC00000005167465\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/533,421	03/22/2000	2739	0	1314.3005R1	8	18	2

J P Blasko Prof Corp  
107 North Broad Street  
Doylestown, PA 18901

Date Mailed: 06/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Charles S. Roberson, Residence Not Provided;

## Continuing Data as Claimed by Applicant

Priority DATA claimed 60/105,526 filed on **RECEIVED**  
March 22, 1999. JUN 14 2000

## Foreign Applications

If Required, Foreign Filing License Granted 06/08/2000

## Title

Method and apparatus for controlling the operation of a flexible cross-connect system

## Preliminary Class

370

Data entry by : SYDNOR, RUTH

Team : OIPE

Date: 06/09/2000

